APPENDIX A

WACKIER FINE CHEMICALS

CYCLODEXTRINS ANOTHER TOOL FOR ENCAPSULATION OF LINOLEIC ACID

Regiert Marlies, Kupka Michaela, Sigl Harald, F-I-P, March 2005

CREATING TOMORROW'S SOLUTIONS

frequently used essential fatty acid in cosmetic formulations. Linoleic acid is the most

One disadvantage of linoleic acid containing oils is there comparatively short shelf life

(Essenzielle Fettsäuren - Kosmetik on innen und außen, Dr. Hans Lautenschläger, 2003)



FUNCTION, PHYSIOLOGICAL EFFECTS



- Belongs to the group of omega-6 fatty acids
- It cannot be synthesized by animals
- the most important barrier-active "ceramide (Essenzielle Fettsäuren - Kosmetik von innen und außen, Linoleic acid is incorporated in the skin to Dr. Hans Lautenschläger, 2003)
- Is essential for the human body

FUNCTION, PHYSIOLOGICAL EFFECTS

which have a regulatory action in various tissues Is important for the synthesis of eicosanoids,

(Technical Information BASF,

"products for the food and pharmaceutical industry", 2002)

- A lack of linoleic acid in the skin has e.g. the effect of:
- barrier disruption of the skin
- a higher rate of the trans-epidermal water-loss
- the skin becomes dry, scale and gets a unhealthy colour
- as a starting material for the synthesis of arachidonic acid Acts both as a concentrated energy carrier and (important component of cell membranes)

(Technical Information BASF,

"products for the food and pharmaceutical industry", 2002)

FUNCTION, PHYSIOLOGICAL EFFECTS

- the adult requirement of linoleic acid is 8 10g per day Requirements / intake recommendations:
- There is an increased requirement for essential fatty acids after severe accidents and in certain diseases

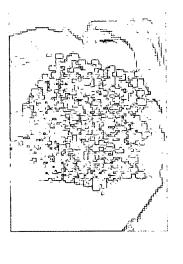
PROPERTIES AND OCCURRENCE

- Is a colorless to straw colored liquid
- Insoluble in water, soluble in oil and fats
- Is the most common polyunsaturated fatty acid
- Linoleic acid also may convert to a isomeric unsaturated conjugated fatty-acid
- It is easily oxidized by air to peroxides that have undesirable biological effects
- temperature and can seriously spoil the taste, odor and stability Vegetable oils become rancid when exposed to air at roomof food products
- It is found in nature in plants and animal tissues

OCCURRENCE



SOVA



peanut



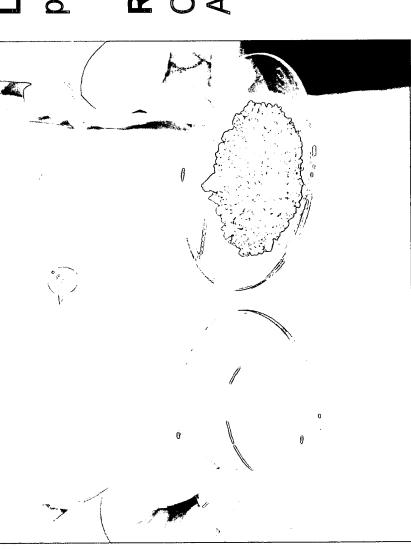


seeds of sunflower

CYCLODEXTRINS ANOTHER TOOL FOR ENCAPSULATION OF LINOLEIC ACID Regiert Marlies, F-I-P, February 2007, Slide 6

FINE CHEMICALS

CONVERSION FROM LIQUID TO SOLID COMPLEX

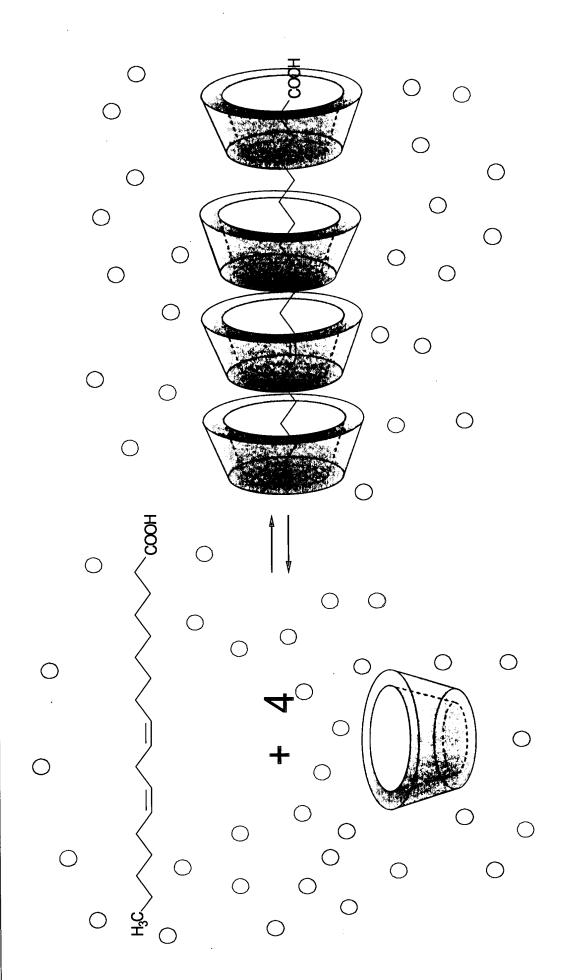


pure linoleic acid Left:

CAVAMAX®W6/LINOLEIC ACID-COMPLEX Right:

- As component in cosmetic formulations like
 - emulsion, cream
- **Ge**
- lip-balm
- Colour cosmetic, like lip-stick
- face powder
- eye shadow
 - face mask
- As component in derma products linoleic acid helps to cure
- skin disease
- sun burn
- Durns
- akne vulgaris

SCHEMATIC REPRESENTATION OF AN INCLUSION COMPLEX FORMATION BETWEEN CYCLODEXTRIN AND LINOLEIC ACID



CYCLODEXTRINS ANOTHER TOOL FOR ENCAPSULATION OF LINOLEIC ACID Regiert Marlies, F-I-P, February 2007, Slide 9

CAVAMAX® W6/LINOLEIC ACID-COMPLEX, CHARACTERISTICS

CAVAMAX®W6-Complex

appearance:

white granulate/powder

active content:

min. 7.5 % (NMR, GC)

water content:

max. 14%

INCI names

cyclodextrin/linoleic acid

patent pending

DE10253042.4-4; EP03026137.4; JP 2003-385675; KR 2003-0077579

BENEFITS OF CAVAMAX® W6/LINOLEIC ACID -COMPLEXES BY APPLICATION IN FORMULATIONS

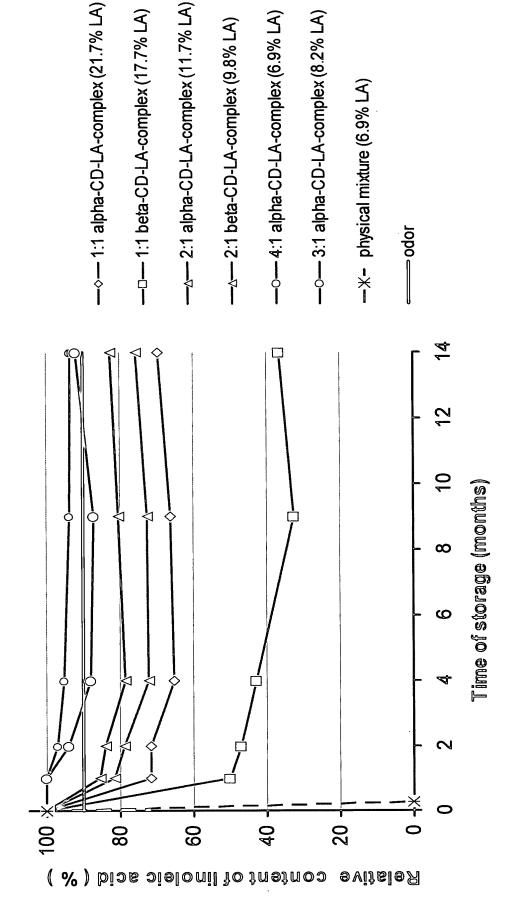
- Improved stability of linoleic acid e.g. oxygen, UV-A and UV-B and temperature
- Controlled release
- No rancidness in finished products e.g. during application
- No need of a stabiliser in cosmetic formulations
- Preparation of cosmetic formulations is even possible at higher temperatures
- Easy handling

BENEFITS OF CAVAMAX® W6/LINOLEIC ACID-COMPLEXES BY APPLICATION IN FORMULATIONS

- Stable dispersion/emulsion
- Increase of texture of emulsions
- Efficient depot system
- Positive costs/benefit-factor
- Recommended dosage:
- 0.5 15% of CAVAMAX®W6/LINOLEIC ACID-COMPLEX
- In food products: improved taste and odor stability

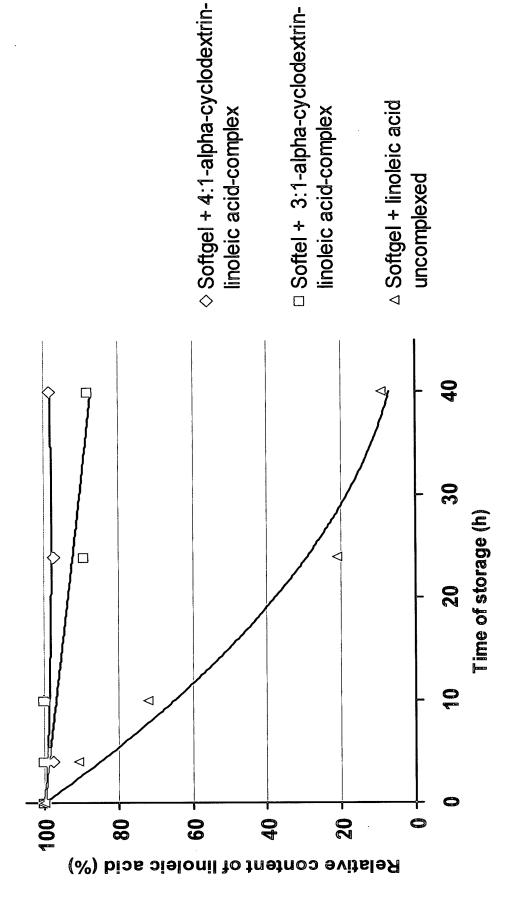
THERMOSTABILITY OF CAVAMAX®/LINOLEIC ACID-COMPLEXES WITH VARIOUS MOLAR RATIO OF ACTIVE AT 45°C

Stability at 45°C, stored in open vessels (90 mm diameter, 3 mm layer)



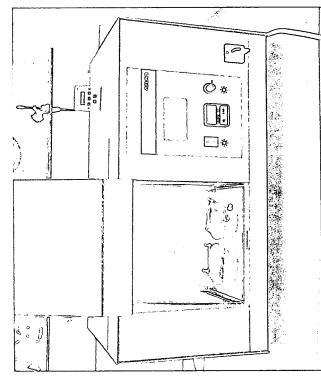
UV-STABILITY OF COMPLEXED AND UNCOMPLEXED LINOLEIC ACID IN GEL

Stability in Sun Screen Softgel (1.0 % linoleic acid. "suntest" UV-A and UV-B, 45 °C)

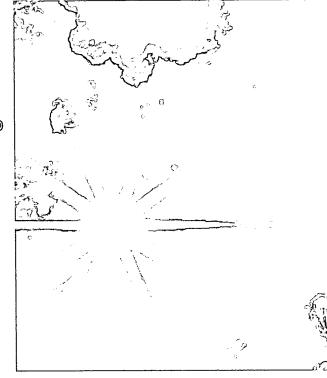


UV-STABILITY TEST IN SUN-TEST DEVICE: COMPARISON

SUN-Test device



"Sun-Bathing"



irradiation/day (middle europe) = 5.7 MJ/m^2

max. irradiation/day = 66 MJ/m²

ratio (time lapse factor) =

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UV-A AND UV-B STABILITY TEST IN SUN-TEST EQUIPMENT

Method

SUNTEST CPS from ATLAS Solar Standard Xenon-Lampe Radiation-source Optical filter Equipment

(filter referring to COLIPA* and DIN 67501)

max. determined inside-temperature = 45°C

 $E(300nm - 800nm) = 765W/m^2$

Constant controlling of the Irradiation

Air cooled sample room

Maximum radiance

via photodiode

(source: ATLAS-Material Testing Solutions)

Sample preparation

Solid substance like cyclodextrin-complex

3-4 g substance between 2 layers of glass 10×10 cm

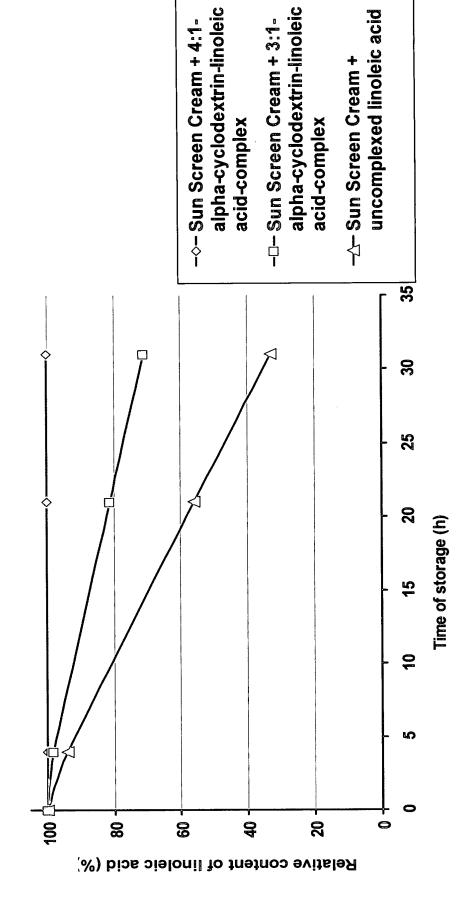
(glass rim has to be covered with an adhesive tape

3 – 4 g in a PE-plastic bag 10 x 10 cm (melted rim)

Soft substance like creams und pastes

UV-STABILITY OF COMPLEXED AND UNCOMPLEXED LINOLEIC ACID IN CREAM

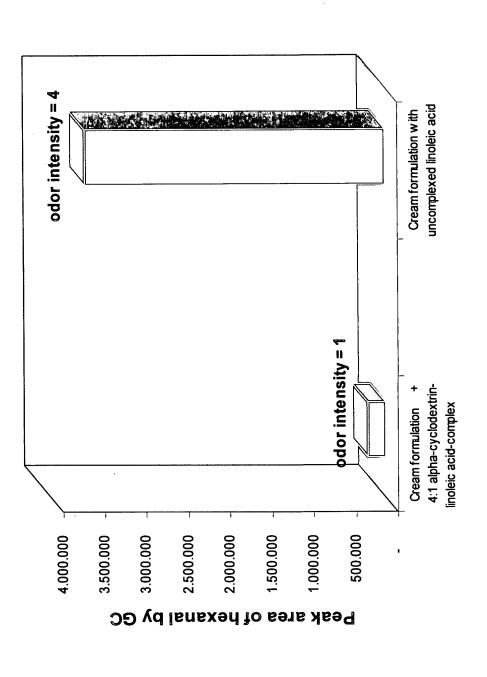
(1.0 % linoleic acid content, "suntest" UV-A and UV-B, 45 °C) Stability in Sun Screen Cream



ALPHA-CD/LA-COMPLEX AND UNCOMPLEXED IN CREAM LONG-TERM STABILITY OF 1% LINOLEIC ACID AS 4:1-

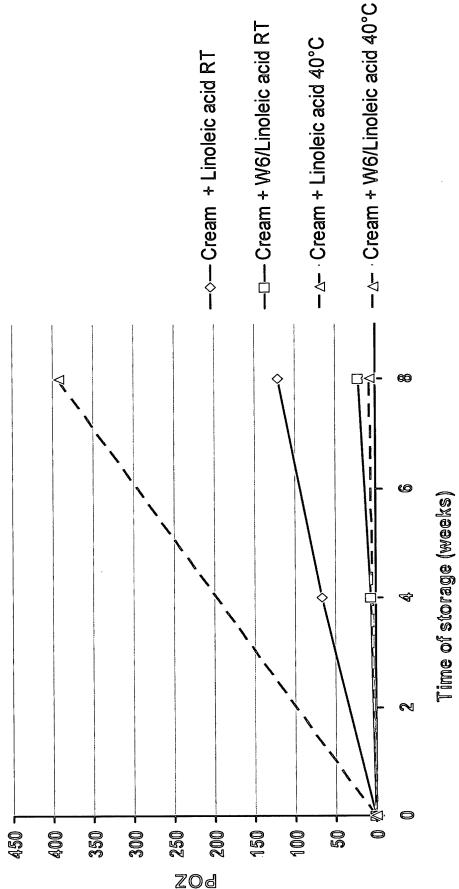
at room temperature after 12 months storage.

Sensory- and SPME/GC-Analysis of deteriorated linoleic acid e.g. as Hexanal



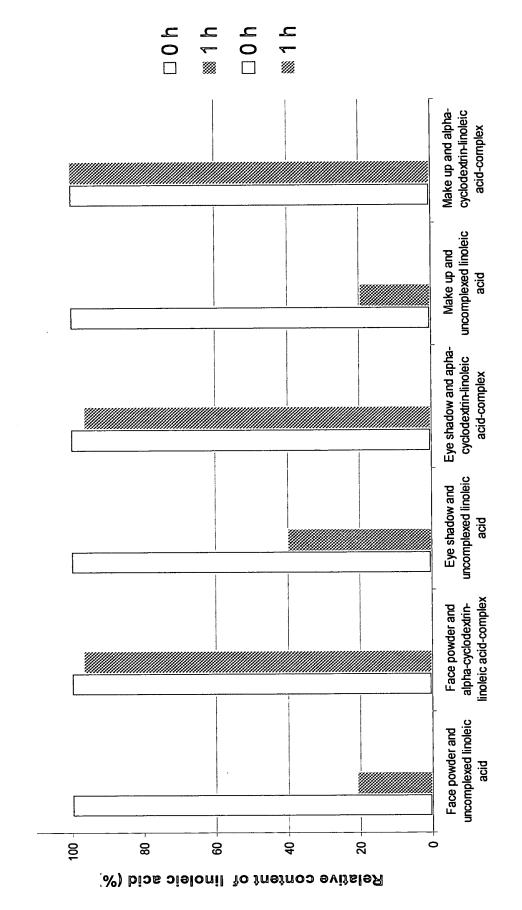
DEGRADATION OF COMPLEXED AND UNCOMPLEXED LINOLEIC ACID BY PEROXIDE VALUE

(1.0% linoleic acid content) determined by peroxide value Instability in Cream W/O stored at different temperatures,



LIGHT-STABILITY OF 1% LINOLEIC ACID AS 4:1-ALPHA-CD/LA-COMPLEX AND UNCOMPLEXED IN COLOR-COSMETICS

"Sun-Test" UV-A and UV-B at 45 °C; GC-Analysis of Linoleic Acid-Content



WACKER FINE CHEMICALS Regien

CYCLODEXTRINS ANOTHER TOOL FOR ENCAPSULATION OF LINOLEIC ACID Regiert Marties, F-I-P, February 2007, Slide 20

Analytical Method

Silylation by MSHFBA, GC-Direct Injection, Principle of the Method:

Internal Standard

Analyte (Linoleic Acid) Retention times (min):

Linoleic Acid

Name of the analyte

10,21 Int. Std. (Eicosanoic Acid)

Cyclodextrin or Cosmetic Products Sample name, matrix:

Solvent-Mix 80 % v/v Pyridine + 20 % v/v THF

Internal Standard ISTD Quantitation - method: CAS - NR.: [530-30-9] Eicosanoic Acid (C20)

Internal Standard solution

INTERNAL Standard:

Solvent:

solvent mix. Add a small volume (about 0.8 g) of that stock solution to (about 5g) of the Prepare a concentrated (e.g. about 1100 ppm) stock solution of Eicosanoic Acid in the Silylating Reagent MSHFBA to get a ISTD-working solution:

150 ppm ISTD in (MSHFBA > 95 %, < 5% solvent mix).

Sample preparation:

Dissolve the sample (Cyclodextrin 0.1 %, Cosmetic Products 1 %) in the solvent mix (rise in temperature, short ultrasonic agitation).

Silylating Reaction:

200 mg of the sample solution are diluted with 700 mg THF + 100 mg ISTD-working solution = 1000 mg reaction solution with 15 ppm ISTD. Heat the reaction mixture (70 °C, about 15 min) --- Alu Block Heater.

Calibration Range:

Analyte: 5 to 20 mg/kg solvent

TD: 15 mg/kg solvent

Calibration solutions:

Dilute and mix the separate solutions to get >= 5 linoleic acid-calibration levels within the calibration range 5-20 ppm with constant 15 ppm ISTD-concentration for all levels. Prepare solutions of linoleic acid and eicosanoic acid in the pyridine/THF-solvent mix separately and store them in a refrigerator (< 1 month, without silylation) Silylating Reaction:

Add 10 % (w / w) of the silylating reagent to the calibration solutions. Heat the calibration mixtures (70°C, about 15 min) --- Alu Block Heater.

Reagents:

THF p.A.

Pyridine

MSHFBA,N-Methyl-N-trimethylsilylheptafluorbutyramid (Macherey-Nagel)

GC - Operating Conditions

Gaschromatograph HP 6890 equipped with FID and autosampler Instrument:

30 m x 0. 32 mm ID fused silica capillary column

Column:

HP-5 Methyl-Polysiloxan with 5 % Phenyl-Polysiloxan Stationary phase:

 $df = 0,23 \, \mu m$ Film Thickness:

Agilent Supplier:

Column temperature

1.0 min Initial Time Initial temp. Temp. program:

Program Rate B Final Temp. 30 °C / min 250°C Program Rate A

- °C / min - °C -

Final Temp.

Final Hold Time: 7.0 min Final Hold Time:

Analysis Time:

Helium

Carrier gas:

117 kPa Column Head Pressure:

Flow Rate:

Constant Pressure Electronic pressure control:

Injection:

1,5 ml/min

Direct Injection with autosampler HP 7673 A,

Splitless mode

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CYCLODEXTRINS ANOTHER TOOL FOR ENCAPSULATION OF LINOLEIC ACID Regiert Marlies, F-I-P, February 2007, Slide 24

Silylation reaction mixture of the calibration solutions and of Inject samples:

the sample solution, respectively.

Injektionvolume (µL):

Inlet:

Split/Splitless capillary inlet with EPC

Temperature: 300 °C

Purge B off 100 ml / min Split Flow:

Purge B on 0,9 min

Septum Purge: 3-5 ml / min

Temperature 300°C Detector:

Hydrogen: 40 ml/min Air: 450 ml/min

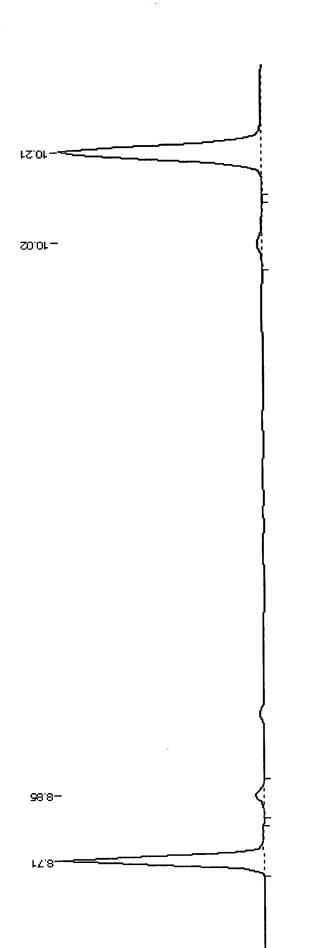
Make up gas: Helium 29 ml/min

Data acquisition and

quantitation software: PE Turbochrome

Representative chromatogram Appendix: Linoleic acid with Int. Standard Eicosanoic Acid after Silylation Representative GC-Run:

Linoleic Acid with Internal Standard Eicosanoic Acid after Silylation Representative GC-Run:



CYCLODEXTRINS ANOTHER TOOL FOR ENCAPSULATION OF LINOLEIC ACID Regiert Marlies, F-I-P, February 2007, Slide 26

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CHEMICALS WACKER

-sпоип

PREPARATION OF A SUN SCREEN SOFT STICK WITH (0.30 W/W%) LINOLEIC ACID

	Ingredients	INCI-Names	w/w	Supplier
€	A) Vaseline	Petrolatum	68,9%	
	Wacker Belsil [®] SDM 6022	Stearoxy Dimethicone, Dimethicone	25,0%	25,0% Wacker-Chemie AG
	B) CAVAMAX®W6/LINOLEIC ACID-COMPLEX (7.4% linleic acid)	Cyclodextrin/Linoleic acid	4,0%	4,0% Wacker-Chemie AG
	Parsol 1789	Butyl Methoxydibenzoylmethane	2,0%	2,0% Givaundan
	Kathon CG	Methylchloroisothiazolinone, Methylisothiazilinone	0,1%	0,1% Rohm&Haas
			100,0%	

Calculation:

7.4g linoleic acid are related to 100g complex, 0.296g Linoleic acid related to x g complex

$$100g \times 0.296g = 4.0g$$
 $7.4g$

Preparation:

Heat A to approx. 60°C and mix well, add B at approx. 45°C under stirring for about 15 minutes.

The content of linoleic acid in the formulation is detected by GC.

PREPARATION OF A SUN SCREEN SOFT GEL WITH (0.30 W/W%) LINOLEIC ACID

	Ingredients	INCI-Names	M/M	Supplier
A	A)Water, dd	Aqua	%8'98	
	CAVAMAX®W6/LINOLEIC ACID-COMPLEX (7.4% linoleic acid)	Cyclodextrin/linoleic acid	4,0%	Wacker-Chemie AG
	Carbopol 940	Carbomer 940	2,5%	Noveon
	Wacker Belsil [®] PDM 20	Phenyl Trimethicone	4,5%	Wacker-Chemie AG
	Parsol MCX	Ethylhexyl Methoxycinnamate	2,0%	Givaudan
	Kathon CG	Methylchloroisothiazolinone, Methylisothiazilinone	0,20%	0,20% Rohm&Haas
			100,0%	

Calculation:

7.4g linoleic acid are related to 100g complex, 0.296g Linoleic acid related to x g complex

 $100g \times 0.296g = 4.0g$ 7.4g

Preparation:

Mix all ingredients at approx. 40°C.

The content of linoleic acid in the formulation is detected by GC.

PREPARATION OF A SUN SCREEN CREAM WITH (0.30 W/W%) LINOLEIC ACID

	Ingredients	INCI-Names	w/w	Supplier
A		Aqua	%2'09	
	CAVAMAX®W6/LINOLEIC ACID-	Cyclodextrin/linoleic acid	4,0%	4,0% Wacker-Chemie AG
	COMPLEX (7.4% linoleic acid)			
	Carbopol 934 Polymer (1% solution)	on) Carbomer	2,0%	5,0% Noveon
	Tetrasodium EDTA	Tetrasodium EDTA	0,20%	
	Glycerine	Glycerine	2,5%	
	Triethanolamine	Triethanolamine	1,0%	-
<u>@</u>	B) Wacker Belsil® DM 350	Dimethicone	2,0%	2,0% Wacker-Chemie AG
	Isopropyl Myristate	Isopropyl Myristate	%0'6	
	Stearyl Alkohol	Stearyl Alkohol	9,5%	
	Cetyl Alkohol	Cetyl Alkohol	0,50%	
	Stearic Acid	Stearic Acid	3,0%	
	Sodium Stearat	Sodium Stearat	1,0%	
	Parsol MCX	Ethylhexyl methoxycinnamate	1,5%	1,5% Givaundan
(C)	C) Kathon CG	Methylchloroisothiazolinone, Methylisothiazilinone	0,10%	0,10% Rohm&Haas
			100,0%	

WACKER

Calculation:

7.4g linoleic acid are related to 100g complex, 0.296 g linoleic acid related to x g complex

$$100g \times 0.296g = 4.0$$

7.4 g

Preparation:

- mix the components of phase A) at 70°C
- mix the components of phase B) at 70°C
- · than pour phase A) in phase B) under intense stirring
- after cool down to 45°C add finally phase C)

The content of linoleic acid in the formulation is detected by GC as described

PREPARATION OF A BELSIL FOUNDATION WITH (0.30 W/W/%) LINOLEIC ACID

	Ingredients	INCI-Names	M/M	Supplier
(A)	A) Wacker Belsil® DM 1 plus	Dimethicone	10,00%	10,00% Wacker-Chemie AG
	Wacker Belsil® CM 7026 VP	C26-28 Alkyl Methicone	2,70%	2,70% Wacker-Chemie AG
		Cyclopentasiloxane and		
	Wacker Belsil® SPG 128 VP	Caprylyl Dimethicone Ethoxy	11,0%	11,0% Wacker-Chemie AG
		Glucoside		
	Wacker Belsil® DM 5	Cyclomethicone	2,30%	2,30% Wacker-Chemie AG
		Polyglyceryl-2	2 40%	2 40% Clariant
		Sesquiisostearate	2,57,7	
	Wacker Belsil® TMS 803	Trimethylsiloxysilicate	1,50%	1,50% Wacker-Chemie AG
<u>@</u>	B) Mixture of ferricoxide and titaniumoxide		8,50%	
	Talc	Talc	2,00%	5,00% Grolman
ပ	C) Water, dd	Aqua	50,2%	
	Sodium chloride	Sodium Chloride	2,00%	2,00% Merck
	CAVAMAX®W6/LINOLEIC ACID-	Cyclodextrin / linoleic acid	4,00%	4,00% Wacker-Chemie AG
	COMPLEX (7.4% linoleic acid)		`	
	D) Fragrance	Perfume	0,30%	
	Kathon CG	Methylchloroisothiazolinone, Methylisothiazilinone	0,10%	0,10% Rohm&Haas
			100,0%	

PREPARATION OF A BELSIL FOUNDATION WITH (0.30 W/W%) LINOLEIC ACID

Calculation:

7.4g linoleic acid are related to 100g complex, 0.296 g linoleic acid related to x g complex

$$100g \times 0.296 g = 4.0 g$$

7.4 g

Preparation:

- mix the components of phase A) at 75°C
- mix the components of phase B) and add to A) under intense stirring
- disperse the complex in phase C) at 50°C
- than pour slowly phase C) to the mixture of phase A) and B)
- after cool down to 45°C add finally phase D)
- than stir till the mixture is homogenous

The content of linoleic acid in the formulation is detected by GC

SUPPLEMENTS

- Page 27, 28, 29, 30, 31, 32, 33 and 34 on 15.03 2006, adapted formulation recipe
- Page Wacker AG 27, 29, 31, 33 on 10.08.2006, adapted formulation recipe
- Page 18 revaised
- Page 33 and 34 revaised

B

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Consumer expect just high-quality skincare products with extraordinary performance